

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application of

Applicants

Davlin et al.

Serial No.

09/651,498

Filed

August 30, 2000

Title

TEMPERATURE CONTROL ELEMENTS, SPINDLE ASSEMBLY,

AND WAFER PROCESSING ASSEMBLY INCORPORATING SAME

Docket No.

MIO 0071 PA/40509.127/00-0430

Examiner

Ram N. Kackar

Art Unit

1763

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1459, on June 11, 2004.

Assistant Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

John D. Ree

Reg. No. 46,506

Sir:

NOTICE OF APPEAL

Applicants hereby appeals to the Board of Patent Appeals and Interferences from the Final Office Action in the above-referenced patent application mailed on May 5, 2004, finally rejecting claims 36, 39 through 42 and 44 through 46.

Enclosed is a check in the amount of \$330.00 to cover the large entity fee for filing a notice of appeal under 37 C.F.R. §1.17(b).

DINSMORE & SHOHL L.L.P.

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

aperwork Reduction Act of 1995, no persons are required to re

TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

__ Applicant claims small entity status. See 37 CFR 1.27

(\$) 330.00 TOTAL AMOUNT OF PAYMENT

espond to a collection of inic	rmation unless it displays a valid OMB control numb	er.
Co	omplete if Known	1
Application Number	09/651,498	
Filing Date	August 30, 2000	
First Named Inventor	John T. Davlin	
Examiner Name	Ram N. Kackar	
Art Unit	1763	_
Attorney Docket No.	MIO 0071 PA/40509.127/00-0430	

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. ADDITIONAL FEES					
Deposit Account:			Small			
Deposit	Fee Code	Fee (\$)		Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name		130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments		2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee		1.840*	1905	1 0/0*	Examiner action Requesting publication of SIR after	
to the above-identified deposit account.		1,040	1605	1,040	Examiner action	
FEE CALCULATION		110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	200.00
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	330.00
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) -0-	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional	
Fee from	1501		2501		Utility issue fee (or reissue)	
Extra Claims below Fee Paid Total Claims -20** = X	1502	480	2502		Design issue fee	
Total Claims -20** = X = Independent -3** = X	1503	640	2503		Plant issue fee	
Claims - 3 - 3 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1	1460	130	1460		Petitions to the Commissioner	
	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	7 285	(37 CFR 1.129(a)) For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims		770	2010	, 303	examined (37 CFR 1.129(b))	
over original patent		770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) -0-	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Redu	ced by	Basic F	Filing F	ee Paid SUBTOTAL (3) (\$) 3	30.00

(Complete (if applicable)) SUBMITTED BY Registration No. John D. Reed 46,506 Telephone (937) 449-6400 Name (Print/Type) June 11, 2004 Date Signature

> WARNING Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.